

# The Narrow-Line Region of Narrow-Line Seyfert 1 Galaxies

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We have studied the physical properties of a sample of narrow-line Seyfert 1 (NLS1) galaxies, and present a summary of our previous results, and new results. In particular, we have previously shown that (1) the locus of NLS1 galaxies on the  $M_{\text{BH}}-\sigma_{[\text{OIII}]}$  plane does follow the relation of non-active galaxies after removing objects obviously dominated by outflows as evidenced by their [OIII] core blueshifts. We have (2) identified a number of so-called 'blue outliers' with large outflow velocities revealed by their emission-line kinematic shifts. We also (3) present new correlations and trends which link black hole mass, Eddington ratio and physical parameters of the emission-line regions.

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## 1. Introduction

Narrow-line Seyfert 1 (NLS1) galaxies are an exceptional subclass of active galactic nuclei (AGNs). As AGNs with the smallest Balmer lines of the broad-line region (BLR) and the strongest FeII emission, they are placed at one extreme end of the eigenvector 1 (EV1) parameter space (e.g., [2]). Observations and interpretations indicate that NLS1 galaxies harbor low-mass black holes accreting at a high rate. As such, they may hold important clues on the nature of black hole growth and evolution, and of feeding and feedback (see [12] for a review on NLS1 galaxies). Studying the multi-wavelength continuum and emission-line properties of NLS1 galaxies, the links and correlations between them, and the physics that drive them, is therefore of great interest.

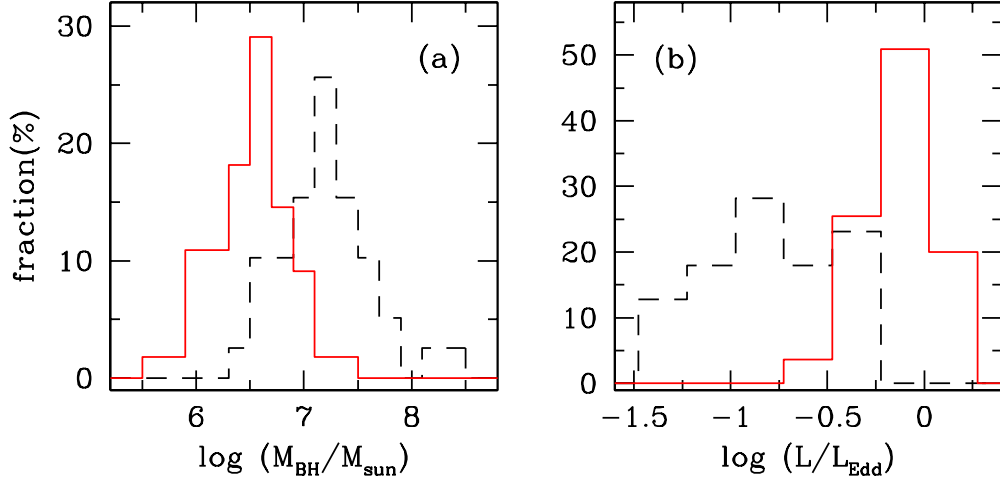
We have therefore created and analyzed an independent, large, homogeneous sample of NLS1 galaxies plus a comparison sample of broad-line Seyfert 1 (BLS1) galaxies, in order to address the following key topics: (1) the locus of NLS1 galaxies on the  $M$ - $\sigma$  plane; (2) the properties of NLS1 galaxies with extreme outflows; (3) the differences in the narrow-line region (NLR) density between NLS1 and BLS1 galaxies; and (4) the correlations and trends which link the physical properties of our AGN sample, and the physical drivers underlying them. Results (1)-(3) have been published before, and we summarize here the salient results, and add some new thoughts.

Throughout this paper, a cosmology with  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_M = 0.3$  and  $\Omega_\Lambda = 0.7$  is adopted.

## 2. The sample

The NLS1 galaxies which make our sample were selected from the catalog of Véron-Cetty & Véron [17], to which we added a comparison sample of BLS1 galaxies from [3] at  $z < 0.3$ . The sample was first presented by [20]. All galaxies have been observed in the course of the *Sloan Digital Sky Survey* (SDSS) (Data Release 3; [1]) and have detectable low-ionization emission lines (in particular, [SII]  $\lambda\lambda 6716, 6731$ , is always present with  $S/N > 5$ ). The initial sample selection, data preparation, and data analysis methods were described in detail in [20]. Emission lines were fit with Gaussian profiles. The Balmer lines were decomposed into a narrow and a broad component, representing emission from the NLR and BLR, respectively. The broad component itself was fit by combining two Gaussian profiles. We adopted the classical FWHM cut-off of the broad component of  $H\beta$  of  $\text{FWHM}(H\beta_b) < 2000 \text{ km s}^{-1}$  as classification criterion of NLS1 galaxies. Re-classifying the galaxies of our sample accordingly, based on spectral emission-line fitting and FWHM determination, we have 55 NLS1 and 39 BLS1 galaxies in our sample. Emission-line and continuum measurements were then used to derive AGN parameters, including black hole masses and Eddington ratios.

**Black hole masses:** we have estimated the black hole masses of our NLS1 and BLS1 galaxies using the width of  $H\beta_b$  and applying the  $R_{\text{BLR}}-\lambda L_{5100}$  relation ([10]). The NLS1 galaxies have, on average, smaller black hole masses than the BLS1 galaxies (Figure 1a), as commonly found when applying these relations. The estimated black hole masses of NLS1 galaxies range from  $\log(M_{\text{BH}}/M_\odot)_{\text{NLS1}} = 5.7$  to 7.3 with an average value of 6.5, while BLS1 galaxies show a range from  $\log(M_{\text{BH}}/M_\odot)_{\text{BLS1}} = 6.5$  to 8.4 with an average value of 7.2.



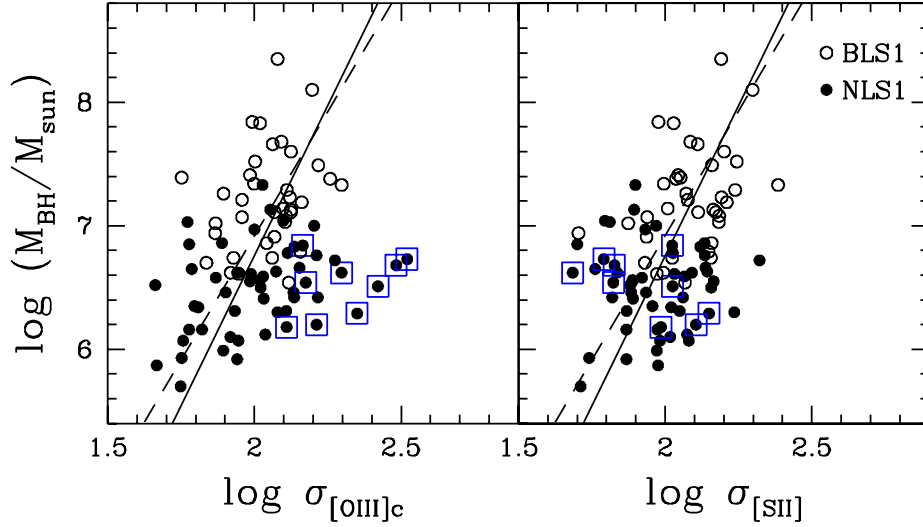
**Figure 1:** Histograms of black hole masses and Eddington ratios (solid line, NLS1 galaxies; dashed line, BLS1 galaxies).

**Eddington ratios:** Eddington ratios were then derived from the black hole masses, according to  $L_{\text{Edd}} = 1.3 \cdot 10^{38} M_{\text{BH}}/M_{\odot} \text{ erg s}^{-1}$ . The bolometric luminosity  $L_{\text{bol}}$  was obtained after applying a correction of  $L_{\text{bol}} = 9 \lambda L_{5100}$ . NLS1 galaxies show, on average, higher Eddington ratios than BLS1 galaxies (Figure 1b). Eddington ratios of our NLS1 galaxies range from  $\log(L/L_{\text{Edd}})_{\text{NLS1}} = -0.6$  to 0.3 with an average value of  $-0.1$ , while those of BLS1 galaxies range from  $\log(L/L_{\text{Edd}})_{\text{BLS1}} = -1.4$  to  $-0.3$  with an average value of  $-0.8$ .

### 3. The $M_{\text{BH}}\text{-}\sigma$ relation

Studying the locus of NLS1 galaxies on the  $M_{\text{BH}}\text{-}\sigma$  plane provides us with important clues on the question, how galaxies with rapidly growing black holes evolve ([14]). Previous studies of NLS1 galaxies, employing different samples and methods, led to partially conflicting results regarding the galaxies' location on the  $M_{\text{BH}}\text{-}\sigma$  plane. When using the width of the narrow [OIII]  $\lambda 5007$  emission line,  $\text{FWHM}_{[\text{OIII}]}$ , as replacement for stellar velocity dispersion  $\sigma_*$ , some authors found that, on average, NLS1 galaxies are *off* the classical  $M_{\text{BH}}\text{-}\sigma_{[\text{OIII}]}$  relation (e.g., [7]), while in other studies they turned out to be *on* that relation (e.g., [18]).

We have carefully re-investigated ([11]) the use of the width of [OIII] as a suitable surrogate for  $\sigma_*$  for the galaxies of our sample. [OIII]  $\lambda 5007$  is commonly used for an estimate of  $\sigma_*$ , but it is also well-known that this line shows complex and asymmetric profiles (see, e.g., [7]), and other phenomena (see below), occasionally. Special attention was therefore paid to modeling the [OIII] profiles. The total [OIII] profile,  $[\text{OIII}]_{\text{total}}$  was decomposed into two Gaussian components: a narrow core and a broad base. The narrow core of [OIII] is referred to as  $[\text{OIII}]_{\text{c}}$ . We distinguish between two types of [OIII] spectral complexity: (1) the presence of a broad base, which tends to be blue-asymmetric and is referred to as "blue wing"; and (2) systematic blueshifts of the *whole core* of [OIII].



**Figure 2:** Location of NLS1 galaxies (filled circles) and BLS1 galaxies (open circles) on the  $M_{\text{BH}}-\sigma$  plane. Blue outliers in [OIII] are marked with extra open squares. *Left:*  $\sigma$  measurements are based on the narrow core of [OIII]  $\lambda 5007$ . *Right:*  $\sigma$  measurements are based on [SII]. The dashed and solid lines represent the  $M_{\text{BH}}-\sigma_*$  relation of non-active galaxies of [16] and of [5].

We routinely corrected for blue wings (i.e., we removed them), and only the narrow core of [OIII] was used to measure  $\sigma$ . Remarkably, a fraction of all NLS1 galaxies show a significant blueshift of their whole (symmetric) [OIII] *core* profile, with a velocity shift  $v_{[\text{OIII}]_c} > 150 \text{ km s}^{-1}$  (hereafter referred to as "blue outliers" [23]; marked in Figure 2). This blueshift comes with a dramatic extra *broadening* of the [OIII] core profile, and it is actually exactly these NLS1 galaxies which show a significant offset from the classical  $M_{\text{BH}}-\sigma_{[\text{OIII}]}$  relation.

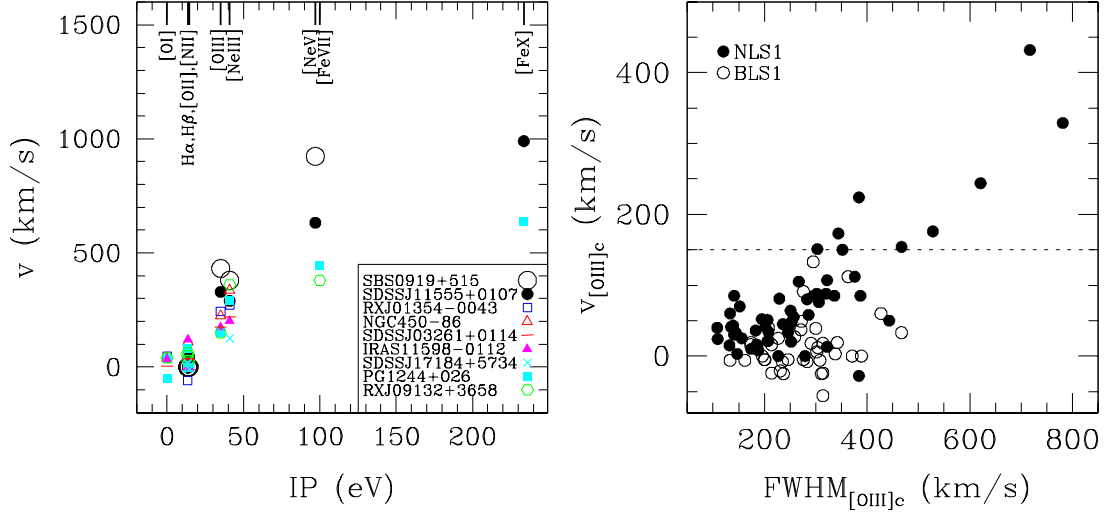
Since the velocity fields of these blue outliers are not dominated by the bulge potential, their line widths cannot be used as surrogates for  $\sigma_*$ . In our sample, all remaining NLS1 galaxies are *on* the  $M_{\text{BH}}-\sigma_{[\text{OIII}]}$  relation, after excluding the objects with strong [OIII] core blueshifts (Figure 2). The full results of this study have been presented by [11].

In the future, it will be useful to check, whether other NLS1 samples also contain [OIII] blue outliers, which have their whole line cores blueshifted (we would like to re-emphasize here, that our key finding is about the core blueshifts, not about the blue wings).

Furthermore, we have also explored the usefulness of [SII]  $\lambda\lambda 6716, 6731$  as a surrogate for  $\sigma_*$ . We found that NLS1 galaxies do follow the same  $M_{\text{BH}}-\sigma$  relation as BLS1 galaxies if the width of [SII] is used as a substitute for  $\sigma_*$ . We have checked that [SII] is *not* systematically influenced by the outflow component that appears in [OIII]<sub>c</sub>. NLS1 galaxies with blue outliers in [OIII]<sub>c</sub> are still distributed along the classical  $M_{\text{BH}}-\sigma$  relation when using [SII] (Figure 2).

Zhou et al. [22] presented estimates of stellar velocity dispersion from SDSS spectra, and they concluded that NLS1 galaxies were highly offset from the classical the  $M_{\text{BH}}-\sigma_*$  relation (but see [4] who found that NLS1 galaxies were on the relation based on the measurements of stellar absorption lines).

Can these different results be reconciled with each other in one consistent model? That is



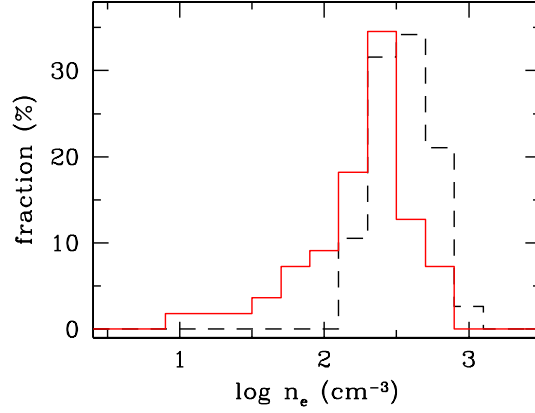
**Figure 3:** *Left:* velocity shift of individual emission lines vs. the ionization potential  $IP$  of [OIII] blue outliers. *Right:* correlation of the [OIII] core width with the [OIII] core blueshift.

to say, can we account for the fact that some estimates of  $\sigma_*$  place NLS1 galaxies to the right of the classical relation in  $M_{BH}$ - $\sigma_*$  diagrams, while our emission-line measurements put them on the relation? There is strong evidence, that a significant fraction of barred galaxies does not actually follow the classical  $M_{BH}$ - $\sigma_*$  relation, but is rather offset (e.g., [9]). The same may therefore hold for barred NLS1 galaxies. Since the stars follow the bar, the possibility has been discussed, that streaming motions along the bar, or other effects, influence the measurements of  $\sigma_*$ . On the other hand, the NLR may not follow the bar, but may rather be confined to the central core, and is always unresolved in our sources; i.e., we always include the whole NLR in our measurements. Therefore, velocity dispersion measurements of stars and gas in barred galaxies may lead to different results.

#### 4. Blue outliers

Among our sample, we identified in total nine NLS1 galaxies as "blue outliers", as described above. In a follow-up study, we have systematically explored the optical properties ([13]) of these blue outliers. Our main results can be summarized as follows: (1) All of them show high Eddington ratios and narrow Balmer lines of the BLR. (2) We detected a strong correlation of line blueshift with ionization potential in each galaxy, and confirmed a strong correlation between [OIII] blueshift and line width (Figure 3). (3) We also reported the absence of a zero-blueshift [OIII] component from a classical inner NLR, while the presence of a classical quiescent outer NLR is indicated by the existence of low-ionization lines.

The high Eddington ratios possibly lead to strong radiation-pressure driven winds/outflows. Blue outliers with their extreme velocity shifts place tight constraints on models of the NLR and mechanisms of AGN outflows on large scales. We tentatively favor a scenario where the NLR clouds of blue outliers are entrained in a decelerating wind (see [13] for more details).



**Figure 4:** Histograms of the NLR density (solid line, NLS1 galaxies; dashed line, BLS1 galaxies).

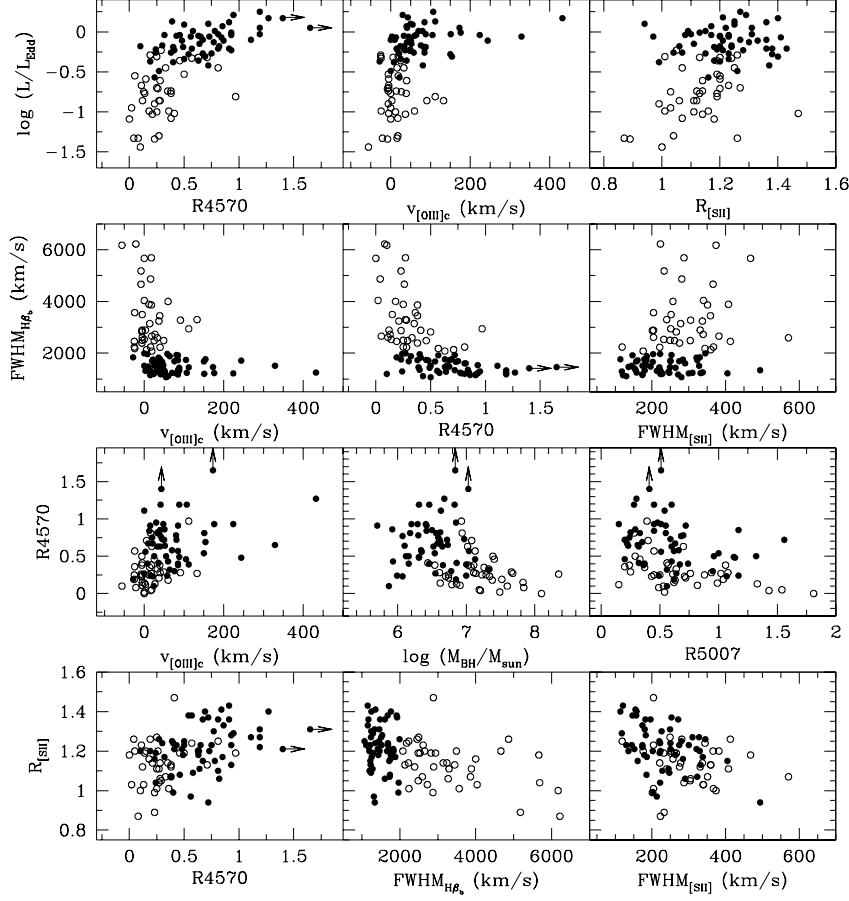
## 5. The NLR density

When attempting to explain the multi-wavelength properties of NLS1 galaxies, and correlations among them, winds and density effects have been suggested as potentially important secondary parameters, driving some of the observed trends. We have therefore made use of the diagnostic power of the [SII]  $\lambda\lambda 6716, 6731$  intensity ratio to measure the density of the NLR, and have investigated whether or not there is any difference in the NLR density between NLS1 galaxies and BLS1 galaxies. We found that the average NLR density of NLS1 galaxies is lower than that of BLS1 galaxies. There is a ‘*zone of avoidance*’ ([20]) in density in the sense that BLS1 galaxies avoid low densities, while NLS1 galaxies show a larger scatter in densities including a significant number of objects with low densities (Figure 4).

We found several lines of evidence that outflows play a significant role in driving the difference in the NLR between NLS1 and BLS1 galaxies. If the radiation-pressure driven winds/outflows still propagate up into the NLR, then we may expect that the NLR gas in such objects is actually more tenuous. An anti-correlation of decreasing electron density with increasing Eddington ratio can be seen across our entire sample of NLS1 and BLS1 galaxies. We found that the peak blueshift of [OIII] does significantly correlate with  $L/L_{\text{Edd}}$ . This correlation then indicates that outflows are more common in objects with high accretion rates. We tentatively favor the effects of winds/outflows, stronger in NLS1 galaxies than in BLS1 galaxies, as explanation for the zone of avoidance in density (see [20] for full results).

## 6. Correlation analysis

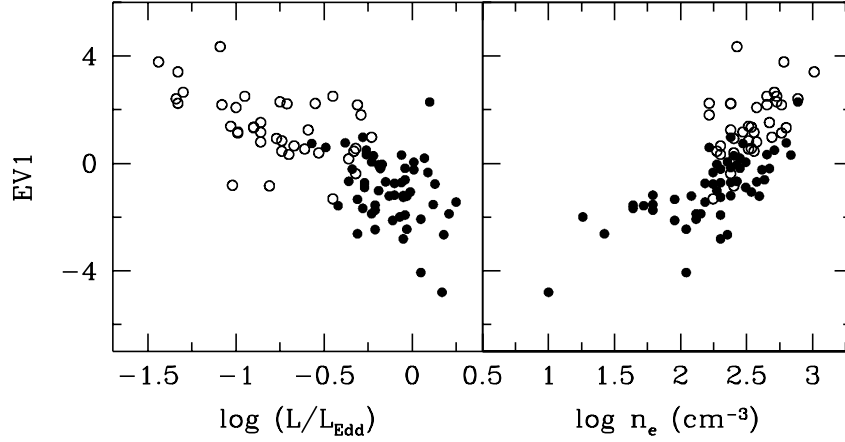
In order to study trends across our sample, and to make a comparison with previously known correlations derived for different NLS1 samples, and to identify new trends, we have performed two types of correlation analyses. Independent samples are of importance when assessing the robustness of correlation analysis, as is the need to increase correlation space. Ours is a large, homogeneously analyzed sample, and we add new emission-line measurements (particularly, the density-sensitive [SII] ratio) to correlation analysis.



**Figure 5:** Strong correlations among black hole mass, Eddington ratio and emission-line properties. Symbols are as in Figure 2. The objects that are off the plots are indicated by arrows.

In a first step we performed a Spearman rank order correlation analysis between the key parameters measured for our sample. These correlations involve emission-line widths ( $\text{FWHM}_{\text{H}\beta}$ ,  $\text{FWHM}_{[\text{SII}]}$  and  $\text{FWHM}_{[\text{OIII}]c}$ ), emission-line ratios (the strength of the [OIII] line, abbreviated as R5007, the strength FeII complex R4570 and the sulphur emission-line ratio  $R_{[\text{SII}]}$  defined as the intensity ratio of  $[\text{SII}] \lambda 6716 / \lambda 6731$ , and the parameters  $\lambda L_{5100}$ ,  $L/L_{\text{Edd}}$ , and  $M_{\text{BH}}$ . Figure 5 displays the correlation diagrams for several parameters. The full Spearman rank order correlation analysis will be presented in [21].

We then performed a Principle Component Analysis (PCA; [2, 6]), in order to identify the main drivers of the correlation properties. We involved the NLR density (represented by the Sulphur ratio  $R_{[\text{SII}]}$ ) in the PCA for the first time. We found EV1 is significantly (anti-)correlated with  $R_{4570}$ ,  $\text{FWHM}_{\text{H}\beta}$ ,  $R_{[\text{SII}]}$ ,  $\text{FWHM}_{[\text{SII}]}$  and  $v_{[\text{OIII}]c}$ . When EV1 decreases,  $R_{4570}$  increases, outflow velocity becomes stronger and  $R_{[\text{SII}]}$  increases, while R5007,  $\text{FWHM}_{\text{H}\beta}$  and  $\text{FWHM}_{[\text{SII}]}$  decrease. A correlation analysis further shows that EV1 strongly correlates with  $L/L_{\text{Edd}}$  and the NLR density  $n_e$  (Figure 6). We confirm that EV1 is an indicator of  $L/L_{\text{Edd}}$  (e.g., [2, 15, 19, 8]). We further identify the NLR density as a new EV1 element for AGNs.



**Figure 6:** Correlations of EV1 with  $L/L_{\text{Edd}}$  and  $n_e$ . Symbols are as in Figure 2. The EV1 is plotted in arbitrary units.

## 7. Conclusions

We have systematically studied a large sample of NLS1 galaxies plus a comparison sample of BLS1 galaxies, and obtained the following results:

- NLS1 galaxies in our sample do follow the  $M_{\text{BH}}-\sigma$  relation of BLS1 galaxies and non-active galaxies, if [SII], and [OIII]<sub>c</sub> are used to measure  $\sigma$ ; with one exception: galaxies with systematic blueshifts of the *whole core profile* of their [OIII] line also have anomalously broad [OIII] profiles. The velocity fields of these "blue outliers" are dominated by outflows and are therefore not suitable for  $\sigma_*$  measurements. All samples making use of [OIII] should therefore have blue outliers removed, before measuring velocity dispersion from [OIII].
- The [OIII] blue outliers are of independent interest because of their strong large-scale outflows, which also appear in other high-ionization emission lines.
- NLS1 galaxies show lower average NLR density than BLS1 galaxies. We identify the NLR density as a new element of EV1 in AGNs.

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